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# Appendix 1 - Approved Vendors

Stewartville ISD #534

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Only to be completed if Employer has elected to permit exchanges and transfers with vendors who are currently authorized to maintain an active 403(b) payroll slot with the Employer.

**Important:** If the ISA box has been checked that vendor may accept transfers/exchanges from the District's Participants. If the ISA box has not been checked that vendor shall not receive transfers/exchanges from Participants.

Name of Vendor	Vendor Number	Phone	ISA
Ameriprise Financial	101643	800-862-7919	<input checked="" type="checkbox"/>
Aspire	63196	866-634-5873	<input checked="" type="checkbox"/>
Corebridge	7523	800-488-2542	<input checked="" type="checkbox"/>
Equitable	012034-0001	800-628-6673	<input checked="" type="checkbox"/>
EFS	Stewartville ISD534	877-403-2374	<input checked="" type="checkbox"/>
Fidelity	65445	800-343-0860	<input checked="" type="checkbox"/>
Franklin Templeton	32777	800527-2200	<input checked="" type="checkbox"/>
FTC	TV028335	877-500-9590	<input checked="" type="checkbox"/>
FTJ Fund Choice	Stewartville ISD 534	800-739-2513	<input checked="" type="checkbox"/>
Great West	90004-01	800-274-9628	<input checked="" type="checkbox"/>
Mass Mutual/ Hartford	7454210-1	866-444-2601	<input checked="" type="checkbox"/>
Horace Mann	22124	800-999-1030	<input checked="" type="checkbox"/>
Primerica	Stewartville ISD 534	800-544-5445	<input checked="" type="checkbox"/>
Thrivent Financial	G0000239060	800-847-4836	<input checked="" type="checkbox"/>

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As agreement's are signed by the vendors and submitted to EBC this Appendix will be updated.

Tuesday, October 2, 2023

# 403(b) Universal Availability Notice

The Employer (the "District") offers a 403(b) plan for eligible employees of the District. Employees are eligible to participate as described below:

- All employees are eligible to participate in the 403(b) plan
- All employees are eligible to participate in the 403(b) plan except those who normally work fewer than \_\_\_ hours per week (must be 20 or less and generally equivalent to 1,000 hours or less in a normal work year)
- Other (describe) \_\_\_\_\_

A 403(b) plan is a tax-deferred retirement program that permits an employee to reduce his or her compensation on a pre-tax basis (a "deferral") and have the deferral deposited into a 403(b) account that the employee sets up with a 403(b) vendor. Amounts deferred into a 403(b) account, and any earnings on those deferrals, are generally not taxed until the employee makes a withdrawal from his or her 403(b) account following separation from service with the District.

The District maintains a list of approved 403(b) vendors and appropriate contact information for each vendor. A copy of this list is available from appendix 1 and 2 of the plan adoption agreements. Employees should contact each vendor for information about the 403(b) products and services it offers.

To enroll in the 403(b) plan, an employee must complete necessary paperwork, such as a salary reduction agreement ("SRA"). The SRA will only apply to amounts earned after enrolling in the plan. This contribution will continue unless it is modified or revoked in the future. The District has established policies that enable you to increase or decrease your contribution, stop your contribution or change from one authorized 403(b) vendor to another. Employees may get the necessary enrollment forms from the payroll department. Additional information on District policies and other 403(b) plan rules can be obtained with the enrollment materials.

**Disclosure to employees:** The District has no liability for any employee's election to participate in the 403(b) plan, choice of 403(b) vendor(s), or expected tax consequences resulting from participating in the 403(b) plan. The District does not provide tax, legal or investment advice and recommends that employees seek advice from professionals who specialize in these areas.